

### **Agenda Item #3. CAA Contracts Time Extension and Reporting Responsibilities**

**Action Items:** 1) Executive Committee approval of CAA contracts extension and budget modifications.  
2) Executive Committee direction to Executive Director to ensure February 2012 Workplan budget is in line with CAA contracts budgets including matching funds documentation.

**Background:** Existing CAA funded contracts #09-076-150-1 and #11-123-555-0 expire on 1 June 2014. At the December 2013 Central Valley Water Board meeting Resolution #R5-2013-0149 was adopted based on CV-SALTS demonstration of adequate progress to allow for a time extension on the submittal of a draft Salt and Nitrate Management Plan for the Central Valley Region. Based on approval of a time extension to the SNMP staff must now request time extensions for the two CAA funded contracts to continue work on technical projects supporting the SNMP development.

Request discussion focus on three topic areas

- Contract 09-076-150 and 11-123-555 budget amendments and how they compare to February 2012 CV-SALTS Workplan
  - 11-123-555 is two separate budgets of \$2M and \$1.8M
  - Budgets developed in phased approach with specific tasks
  - Budget tasks should be part of the consideration when EC is directing TPM to develop technical scopes of work
  - Annual State Water Board reporting requirements – initial report not much had been paid out, now that we are farther into the budget it is difficult to develop reports that line up the two separate contract budgets with the Feb 2012 Workplan
- CAA funding Contractor (SJVDA) reporting responsibilities
  - Contract requires Annual and Final Reports to be submitted by the contractor on all work completed (these are written reports not the annual report to State Board)
  - Should CVSC provide input/assistance to SJVDA in development of these reports
- Discussion/approval of the time extension requests to the State Water Board
  - Changes or comments on 09-076-150 Budget Amendment?
  - Changes or comments on 11-123-555 Budget I & Budget II Amendments?

#### **Recommendation to Executive Committee:**

- 1) When EC identifies work for the TPM it should include a recommendation under which Budget II task it belongs
- 2) EC direct Executive Director to refine budget reporting tables from Jan 2014 State Board Annual Report to more closely align with Feb 2012 Workplan including matching funds.
- 3) Approve processing of time extensions for both CAA funded contracts

#### **Attachments:**

[09-076-150-1 Exhibit B, Attachment I](#)

[11-123-555 Exhibit B, Attachment I](#)

[11-123-555 Exhibit B, Attachment II](#)

[Budget Table 1](#)

**Exhibit B, Attachment I**  
Budget  
(Upon DAS' Approval through ~~January 31, 2012~~ **June 1, 2016**)

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<b>Personnel</b>	<u>Hourly Rate</u>	
<u>Position Title and Number of each</u>		
Accountant	\$77.31 - \$79.64	
Project Manager	\$155 - \$160	
Attorney	\$195 - \$250	
		<b>Total Personnel</b>
		<del>\$90,948</del> <b>77,262</b>
<b>Fringe Benefits</b> (included in Personnel)		
<b>Operating Expenses</b>		\$ 5,000
<b>Travel</b>		\$ -0-
<b>Equipment</b>		\$ -0-
<b>Subcontracts</b>		
TBD - These services will be subcontracted in accordance with SCM 3.06 as detailed in Exhibit B, Scope of Work.		
<u>Beneficial Use Objective Study (BUOS) Phase 1</u>	<u>\$ 49,982</u>	
<u>Management, Facilitation, and Administration for CV-SALTS year 1</u>	<u>\$376,185</u>	
<u>Management, Facilitation, and Administration for CV-SALTS year 2 option</u>	<u>\$291,571</u>	<b>366,571</b>
<u>Technical Project Management</u>	<u>\$400,000</u>	<b>352,000</b>
	<b>Total Subcontracts</b>	
<b>\$1,117,738</b>		<b>1,404,052</b>
<b>Other Costs</b>		\$ -0-
	<b>Total Costs</b>	<b>\$1,200,000</b>

**Exhibit B, Attachment I**  
Budget (Phase 2)  
(Upon DGS/OLS Approval through June 1, ~~2014~~2016)

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**Personnel**

Position Title and Number of each	Hourly Rate
Accountant	\$86.61
Project Manager	\$160 - \$170
Attorney	\$195 - \$300

**Total Personnel** \$164,000

**Fringe Benefits** (included in Personnel)

**Operating Expenses**

\$ 12,500

**Travel**

\$ -0-

**Equipment**

\$ -0-

**Subcontracts**

TBD - These services will be subcontracted in accordance with SCM 3.06 as detailed in Section 10 Subcontracting Requirement of Exhibit B and Scope of Work, contained in Exhibit A. Final task amounts will be included into the contract through a budget modification once individual scopes of work are completed and match funding secured (per Resolution #2010-0042 requiring match, [see Budget Table 1 attachment for Central Valley Salinity Coalition \(CVSC\) and Stakeholders match.](#)) Total subcontract costs under this phase of the agreement will not exceed ~~\$1,837,500~~ 1,823,500.

Task 4D1. Technical Project Manager \$ ~~427,950~~ 346,098  
Task 4D1d: Basin Planning Support \$ ~~58,050~~ 104,789

Task 4D2. Refine Beneficial Use and Objective Study (BUOS Phase II) \$ ~~75,000~~ 100,004  
[Additional GIS Work](#)

Task 4D3. Develop Salt and Nitrate Conceptual Model for Central Valley \$ ~~750,000~~ 616,109  
[Phase I Initial Conceptual Model incl addl data support](#)  
[Phase II Conceptual Model Workplan](#)

Task 4D4. Conduct Technical Studies as Directed by CV-SALTS Executive Committee \$ ~~512,500~~ 656,500  
[Aquatic Life Criteria Review](#)  
[Tulare Lake Bed Groundwater MUN Archetype](#)  
[MUN POTW Archetype Laboratory Analysis](#)  
[Phase I Strategic Salt Accumulation Land and Transport Study \(SSALTS\)](#)  
[GIS Aq Zone Mapping](#)

**Total Subcontracts**

~~\$1,837,500~~ 1,823,500

**Other Costs**

\$ -0-

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San Joaquin Valley Drainage Authority  
11-123-555

**Total Costs**      \$2,000,000

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**Exhibit B, Attachment II**  
Budget (Phase 3)  
(July 1, 2012 through June 1, ~~2014~~2016)

**Personnel**

<u>Position Title and Number of each</u>	<u>Hourly Rate</u>
Accountant	\$86.61
Project Manager	\$160 - \$170
Attorney	\$195 - \$300

**Total Personnel** \$135,000

**Fringe Benefits** (included in Personnel)

**Operating Expenses** \$ 7,500

**Travel** \$ -0-

**Equipment** \$ -0-

**Subcontracts**

TBD - These services will be subcontracted in accordance with SCM 3.06 as detailed in Section 10 Subcontracting Requirement of Exhibit B, and Scope of Work contained in Exhibit A. Final task amounts will be included into the contract through a budget modification once the State Water Board determines to fund this Phase 3 ([funded per Resolution #2012-0066](#)) and -once individual scopes of work are completed and match funding secured (per Resolution #2010-0042 requiring match, [see Budget Table 1 attachment for Central Valley Salinity Coalition \(CVSC\) and Stakeholders match](#)). Total subcontract costs under this phase of the agreement will not exceed \$1,657,500.

Task 4D4. Conduct Technical Studies as Directed by CV-SALTS Executive Committee <a href="#">MUN POTW Archetype CEQA</a>	\$ 307,500
Task 4D5. Conduct Economic Analysis of Implementation Alternatives <a href="#">Phase III Conceptual Model</a> <a href="#">Phase II SSALTS</a> <a href="#">Phase III SSALTS</a>	\$ 250,000
Task 4D6. Develop Environmental Review Documentation <a href="#">Phase II Conceptual Model</a>	\$ 750,000
Task 4D7. Develop Central Valley Salt and Nitrate Management Plan (SNMP) <a href="#">Phase II Conceptual Model</a>	\$ 300,000
Task 4D8. Draft Central Valley Basin Plan Amendment Language	\$ 50,000

**Total Subcontracts** \$1,657,500

**Other Costs** \$ -0-

**Total Costs** \$1,800,000

**Budget Table 1**  
**CV-SALTS Programatic Budget, Fund Source and Contract Status**  
**Amended Approved 7/9/13**

	2012 Approved Workplan	Contracted Amount	Current Estimate	CAA Obligation	Projected Available Balance	Total Funding	CVSC Obligation (Match)	Stake holders (Match)
SJVDA Contracts Administrative Oversight*	\$0	\$401,262	\$401,262	\$401,262	\$5,765,000	\$401,262		
<b>Program Management and Development</b>					\$5,363,738	\$0		
Program Mgt/Facilitation thru 2/11 to 1/13	\$600,000	\$667,756	\$667,756	\$667,756	\$4,695,982	\$667,756		
Program Mgt. and Facilitation (3/13 to 3/16)	\$600,000	\$600,000	\$600,000	\$0	\$4,695,982	\$600,000	\$600,000	
Maintaining mtg minutes and website	\$160,000		\$110,000	\$80,000	\$4,615,982	\$110,000	\$30,000	
Prior Implementation LWA Pilot Salt Study			\$585,000		\$4,615,982	\$585,000	\$585,000	
Prior Implementation & future Outreach Efforts	\$900,000		\$50,000	\$50,000	\$4,565,982	\$50,000		
Basin Planning Support	\$90,000	\$104,789	\$104,789	\$104,789	\$4,461,193	\$104,789		
<b>Policy Discussions on BU and WQO 2/13 -1/15</b>	\$140,000	\$75,000	\$215,000	\$75,000	\$4,386,193	\$215,000	\$140,000	
<b>Technical Project Management</b>	\$500,000		\$982,713	\$0	\$4,386,193	\$0		
EKI Technical Project Management (closed)		\$111,915	\$111,915	\$111,915	\$4,274,278	\$111,915		
LSJR Interim Committee Mgr. (thru 09/2012)		\$50,000	\$32,000	\$32,000	\$4,242,278	\$32,000		
CV-SALTS CDM Smith TPM thru 10/31/13		\$296,098	\$296,098	\$296,098	\$3,946,180	\$296,098		
CV-SALTS CDM Smith TPM thru 10/31/15 **			\$264,000	\$0	\$3,946,180	\$264,000	\$264,000	
CV-SALTS Initiative (post Oct. 2013) ESTIMATED				\$0	\$3,946,180	\$0		
LSJR Committee Manager*		\$213,085	\$278,700	\$278,700	\$3,667,480	\$278,700		
<b>Conceptual Model</b>					\$3,667,480			
--Phase I -approach, data, model (completed)	\$200,000	\$473,918	\$495,918	\$495,918	\$3,171,562	\$495,918		
<b>Phase II (\$575K)* Estimated cost and topics</b>					\$3,171,562			
--Prioritization & Refine Model from Phase 1	\$150,000	\$25,000	\$50,000	\$50,000	\$3,121,562	\$50,000		
--Potential Implementation Archetypes	\$100,000		\$150,000	\$150,000	\$2,971,562	\$150,000		
--Background WQ Assimilative Capacity	\$100,000		\$125,000	\$125,000	\$2,846,562	\$125,000		
--Effectiveness/Sustainability Demonstration	\$150,000		\$125,000	\$125,000	\$2,721,562	\$125,000		
--Prepare CV SNMP Element Documentation	\$200,000		\$125,000	\$125,000	\$2,596,562	\$125,000		
					\$2,596,562			
<b>Phase III (\$500K)* Estimated cost and topics</b>					\$2,596,562			
-- Surveillance and Implementation \$13242	\$100,000		\$100,000	\$100,000	\$2,496,562	\$100,000		
--Conduct Economic Analysis	\$300,000		\$300,000	\$300,000	\$2,196,562	\$300,000		
--Perform Antidegradation Analysis	\$125,000		\$100,000	\$100,000	\$2,096,562	\$100,000		
<b>Technical Studies</b>					\$2,096,562			
BUOS Part I (completed)	\$0	\$49,982	\$49,982	\$49,982	\$2,046,580	\$49,982		
BUOS Update with GIS Layers	\$50,000	\$100,004	\$100,004	\$100,004	\$1,946,576	\$100,004		
BUOS Part II			\$0	\$0	\$1,946,576	\$0		
Ag Water Quality Zoning Map	\$100,000	\$120,000	\$240,000	\$120,000	\$1,826,576	\$240,000	\$55,000	\$65,000
--Inland Surface Waters Validation			\$0	\$0	\$1,826,576	\$0		
--Incorporate info and data into georeferenced database			\$0	\$0	\$1,826,576	\$0		
--Summarize initial salt & nitrate load georeferenced database			\$0	\$0	\$1,826,576	\$0		
Stock Watering*	\$29,000	\$29,000	\$29,000	\$0	\$1,826,576	\$29,000		\$29,000
Aquatic Life		\$31,500	\$31,500	\$31,500	\$1,795,076	\$31,500		
Groundwater Archetype (Tulare)	\$600,000	\$100,000	\$300,000	\$100,000	\$1,695,076	\$300,000		\$200,000
MUN POTW Archetype	\$1,000,000	\$300,000	\$300,000	\$110,000	\$1,585,076	\$300,000	\$75,000	\$115,000
--Water Quality Testing Subtask completed *		\$45,099	\$45,099	\$45,099	\$1,539,977	\$45,099		***
Lower San Joaquin River Salt & Boron WQO	\$765,000	\$765,000	\$765,000	\$765,000	\$774,977	\$765,000		
<b>Implementation Planning</b>					\$774,977			
SSALTS Phase 1	\$335,000	\$345,000	\$345,000	\$345,000	\$429,977	\$345,000		
SSALTS & Implementation Planning/Refine MA	\$350,000		\$100,000	\$100,000	\$329,977	\$100,000		
Effective MP evaluation	\$215,000		\$348,377	\$0	\$329,977	\$348,377		\$348,377
Economically Disadvantaged Communities	\$55,000				\$329,977			
<b>Documentation Basin Plan Amendment</b>					\$329,977			
CEQA Equivalent (SED) & Basin Plan Staff Report	\$430,000		\$400,000	\$300,000	\$29,977	\$400,000	\$100,000	
Final SNMP Documentation and changes (16/17)	\$75,000		\$104,977	\$29,977	\$0	\$104,977	\$75,000	
<b>Initial Implementation (not shown here)</b>					\$0			
<b>Potential Final Balance:</b>	<b>\$8,419,000</b>	<b>\$4,904,408</b>	<b>\$8,446,377</b>	<b>\$5,765,000</b>	<b>\$0</b>	<b>\$8,446,377</b>	<b>\$1,924,000</b>	<b>\$757,377</b>

**Notes/Legend**

- \* Scope/Cost Not Included in February 2012 workplan for this task
- \*\* TPM paid by CVSC in 2014-15
- \*\*\* Stakeholder funding from MUN POTW participants \$60K and up to \$55K from CVSC member direct contributions plus up to \$75K CVSC contribution

	Not included in workplan calculations
	Under-estimated in workplan
	Gray text indicated completed task or project
	Area subject to future outside CV-SALTS approvals